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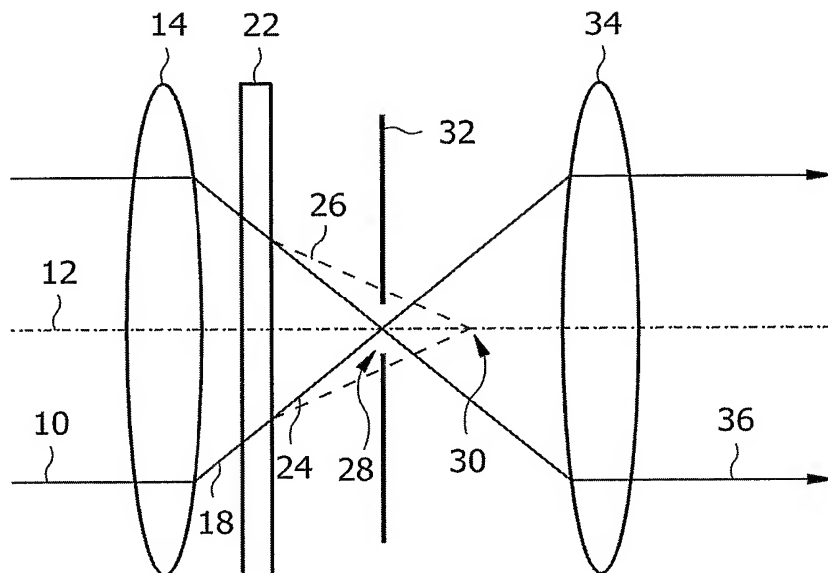
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(54) Title: METHOD AND APPARATUS FOR GENERATING RADially AND/OR AZIMUTHALLY POLARIZED LIGHT BEAMS.



(57) Abstract: A method and an apparatus for generating a polarized light beam to be projected onto an object plane are provided. A converging or diverging light beam (18) is generated. The converging or diverging light beam is projected through a member (22, 52) comprising an uniaxial birefringent material, the uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis (12) of the light beam, and the member being placed at a distance from the object plane. Thereby, it is possible to create, for example a radially polarized beam that can be used for various optical purposes, e.g. for optical data reading/writing or for microscopy.

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## METHOD AND APPARATUS FOR GENERATING RADially AND/OR AZIMUTHALLY POLARIZED LIGHT BEAMS

### FIELD OF THE INVENTION

5           The present invention relates to a method and an apparatus for generating radially and/or azimuthally polarized light beams. Particularly, the present invention relates to a method and an apparatus for generating a light beam having a relatively narrow focal spot on the basis of the mentioned polarization of the light beam.

### 10   BACKGROUND OF THE INVENTION

          In many optical systems small details are to be resolved by a light beam. For example, in an optical disk drive the data is read out by focusing a beam of wavelength  $\lambda$  and numerical aperture NA onto the data layer and measuring the amount of light that is reflected back into the aperture of the lens. The same principle is applied in scanning microscopes. The  
15   smallest resolvable detail in these systems is of the order  $\lambda/NA$ . In conventional imaging systems, such as an optical lithography apparatus, the NA of the objective lens determines the resolution in much the same way as for a scanning microscope. For all these systems it holds that for small to moderate NA the polarization of the light beam does not play a very significant role. However, for large NA the polarization state is highly relevant for the  
20   resolving properties of the system. Conventionally, the polarization is taken to be uniform across the pupil of the system, and either linear or circular. A non-uniform polarization state alters the distribution of light close to the focal point. For example, a beam with a radially oriented linear polarization across the pupil is reported to result in a relatively narrow focal spot (cf. R. Dorn, S. Quabis, and G. Leuchs, Sharper focus for a radially polarized light  
25   beam, Physical Review Letters, Volume 91, 233901, 2003). When such a radially polarized beam is further modified by blocking the central part of the pupil (so-called apodization) the polarization state across the focal spot is substantially linear and oriented along the optical axis of the system. This stands in contrast to low NA imaging with a uniform linear polarization where the polarization state across the focal spot is substantially linear and  
30   oriented perpendicular to the optical axis. Thus, providing radially polarized light beams within an optical system allows for novel kinds of imaging.

According to prior art, radially polarized beams are difficult to produce. For example, it is required to modify the laser, or to introduce segmented wave plates and clean-up optical filters, or to use complicated computer generated diffractive elements.

An object of the present invention is to provide a light beam with a desired linear polarization across the pupil with simple means, and particularly with a radially oriented linear polarization across the pupil.

## SUMMARY OF THE INVENTION

The above objects are solved by the features of the independent claims. Further developments and preferred embodiments of the invention are outlined in the dependent claims.

In accordance with the present invention, there is provided a method of generating a polarized light beam to be projected onto an object plane comprising the steps of: generating a converging or diverging light beam; and projecting the converging or diverging light beam through a member comprising a uniaxial birefringent material, the uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis of the light beam, and the member being placed at a distance from the object plane.

Birefringent materials have a refractive index that depends on the polarization state of light. For example, when the polarization is along the symmetry axis of the uniaxially birefringent material, the refractive index is  $n_e$ , and when the polarization is perpendicular to the symmetry axis, the refractive index is  $n_o$ , where  $n_o$  and  $n_e$  are called the ordinary and extraordinary refractive index, respectively. When a beam of light travels at an angle  $q$  with the symmetry axis the polarized state perpendicular to the plane spanned by the propagation direction and the symmetry axis is referred to as the ordinary mode and has a refractive index  $n_o$ ; the polarization state in the plane spanned by the propagation direction and the symmetry axis is referred to as the extraordinary mode and has a refractive index depending on the angle  $\theta$ , namely  $n_o n_e / \sqrt{n_o^2 \cos^2 \theta + n_e^2 \sin^2 \theta}$ . The effect of focusing through/into a plan-parallel slab of uniaxial birefringent material, such that the symmetry axis of the birefringent slab is parallel to the optical axis is studied in S. Stallinga, Axial birefringence in high-numerical-aperture optical systems and the light distribution close to focus, Journal of the Optical Society of America A, Volume 18, 2846-2859, 2001. It appears that the extraordinary mode corresponds to the beam that is radially polarized in the pupil of the system, whereas the ordinary mode corresponds to the beam that is azimuthally polarized in the pupil of the system. Furthermore, these two beams are defocused with respect to each other,

so that two separate foci occur, a distance  $d\Delta n/n$  from each other, where, in the case of a slab of uniform thickness,  $d$  is the thickness of the slab,  $\Delta n = n_e - n_o$  is the birefringence, and  $n$  is the average refractive index.

Preferably, light that traveled through the uniaxial birefringent material comprises an extraordinary mode and an ordinary mode, the modes having different focal points, and a spatial filter is provided for substantially blocking one of these modes. When a plan-parallel slab is used as the object comprising a uniaxially birefringent material, both the extraordinary and the ordinary modes are generated by passing through the object. In the case that only one of these modes is to be used for optical data processing, it is advantageous, to filter out the other mode, thereby reducing the background in the resulting image.

According to a preferred embodiment, the ordinary mode is blocked by the spatial filter, thereby generating a radially polarized beam that passed the filter. Focusing of such a radially polarized beam results in a relatively narrow focal spot.

According to a further embodiment, the extraordinary mode is blocked by the spatial filter, thereby generating an azimuthally polarized beam that passed the filter, and the method further comprises the step of: placing a  $\pi/2$  rotator into the azimuthally polarized beam, thereby generating a radially polarized beam that passed the  $\pi/2$  rotator. Thus, also in this case a radially polarized beam is obtained, leading to the mentioned advantages as to focusing.

In a further preferred embodiment, an apodizer is placed into a radially polarized beam. An apodizer blocks the central part of the pupil. Thereby, a polarization state across the focal spot is obtained that is substantially linear and oriented along the optical axis of the system.

When a plan-parallel slab is used as the member comprising a uniaxial birefringent material, the required thickness of this object depends on the desired separation between the two foci. The axial separation of the two foci is assumed to be much larger than the focal depth  $n\lambda/NA^2$ , i.e.  $(d\Delta n/\lambda)(NA/n)^2 \gg 1$ . For  $\lambda = 405$  nm,  $NA = 0.85$ ,  $n = 1.6$ ,  $\Delta n = 0.15$  (typical for liquid crystalline materials), the thickness  $d$  must be much larger than about 10  $\mu\text{m}$ , thus, a thickness of 50  $\mu\text{m}$  will be sufficient.

A further embodiment of the method according to the invention comprises the steps of:

providing a member comprising a uniaxial birefringent material having a thickness  $d$  that varies with the angle of incidence  $\theta_i$  according to

$$d(\theta_i) = \frac{\lambda}{4} \cdot \frac{1}{\sqrt{n_o^2 - \sin^2 \theta_i} - \frac{n_o}{n_e} \sqrt{n_e^2 - \sin^2 \theta_i}},$$

wherein  $\lambda$  is the wavelength of the light,  $n_o$  is the refractive index for the ordinary mode, and  $n_e$  is the refractive index for the extraordinary mode, and

placing a  $\pi/4$  rotator into the beam that passed the member, thereby generating a radially polarized beam that passed the  $\pi/4$  rotator. According to this embodiment, the member is designed as a birefringent layer with a symmetry axis substantially parallel to the optical axis, irradiated by a converging or diverging beam of circularly polarized light, which introduces a retardation of a quarter wave length between the azimuthally polarized and the radially polarized component of the beam. Since the effective index of refraction is dependent on the angle of incidence  $\theta_i$ , the thickness of the birefringent layer varies as a function of the angle as described above. The birefringent layer creates a linear polarization profile having an angle of 45 degrees with the radial direction for all rays in the converging or diverging cone of light. By guiding the beam through a rotator that rotates the polarization over 45 degrees, e.g. a slab of an optically active material, such as quartz, a radially polarized or azimuthally polarized beam is created. As compared to the embodiments of the present invention in which an extraordinary and an ordinary component are created and only one of these components is used, the solution discussed here has the advantage that no light is lost for the optical data processing.

In accordance with the present invention, there is further provided an apparatus for generating a polarized light beam to be projected onto an object plane comprising: means for generating a converging or diverging light beam; a member comprising a uniaxial birefringent material located in the converging or diverging light beam, the uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis of the converging or diverging light beam, the member being further located at a distance from the object plane.

According to a still further aspect of the present invention, there is provided a member comprising a uniaxial birefringent material adapted to be placed into a converging or diverging light beam, the member having a thickness  $d$  that varies with the angle of incidence  $\theta_i$  according to

$$d(\theta_i) = \frac{\lambda}{4} \cdot \frac{1}{\sqrt{n_o^2 - \sin^2 \theta_i} - \frac{n_o}{n_e} \sqrt{n_e^2 - \sin^2 \theta_i}},$$

wherein  $\lambda$  is the wavelength of the light,  $n_o$  is the refractive index for the ordinary  
 5 mode, and  $n_e$  is the refractive index for the extraordinary mode.

The present invention further relates to an optical device comprising an apparatus  
 according to the present invention.

These and other aspects of the invention will be apparent from and elucidated with  
 reference to the embodiments described hereinafter.

10

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be described in more detail by way of example with reference to  
 the accompanying drawings, in which :

- Figure 1 shows an optical setup for defining basic terms to be used for explaining the  
 15 present invention;
- Figures 2a and 2b show cross sections through light beams in which radial and azimuthal  
 polarizations are indicated, respectively;
- Figure 3 shows an optical setup for illustrating the present invention;
- Figure 4 shows a further optical setup for illustrating the present invention;
- 20 - Figure 5 shows an apparatus according to the present invention;
- Figure 6 shows an optical member according to one embodiment of the present invention;
- Figure 7 shows an optical setup for illustrating the present invention; and
- Figure 8 shows a flow chart illustrating a method according to the present invention.

## 25 DETAILED DESCRIPTION OF THE INVENTION

Figure 1 shows an optical setup for defining basic terms to be used for explaining the  
 present invention. A light beam 10 travels parallel to an optical axis 12 and is incident on a  
 lens 14 with a pupil plane 16. The lens 14 produces a converging light beam 18 with  
 numerical aperture  $NA = n \sin \alpha$ , where  $\alpha$  is the largest angle that the rays within the con-  
 30 verging cone of light make with the optical axis 12, and where  $n$  is the refractive index of the  
 medium that the beam is focused into. The converging beam of light is focused onto a layer  
 20, for example the data layer of an optical disk.

Figures 2a and 2b show cross sections through light beams in which radial and azimuthal polarizations are indicated, respectively. The cross sections are taken perpendicular to the optical axis of the light beams. An arrow at a certain point indicates the polarization for the ray at that point. Thus, Figure 2a shows a radially polarized beam, and Figure 2b shows an azimuthally polarized beam.

Figure 3 shows an optical setup for illustrating the present invention. A light beam travels parallel to an optical axis 12 and is incident on a first lens 14 of a telescope. The lens 14 generates a converging light beam 18 which passes through a uniaxial birefringent slab 22 having a symmetry axis parallel to the optical axis 12. This results into a splitting of the converging light beam 18 into the extraordinary light beam 24 and the ordinary light beam 26. The extraordinary light beam 24 has a first focal point 28, and the ordinary light beam 26 has a second focal point 30. A spatial filter 32 blocks the ordinary mode, and a second lens 34 of the telescope generates a parallel light beam 36. The spatial filter is realized as a pinhole. This parallel light beam 36 is an essentially radially polarized light beam.

Figure 4 shows a further optical setup for illustrating the present invention. The optical setup according to Figure 4 largely corresponds to the optical setup shown in Figure 3. In contrast to the setup according to Figure 3, the spatial filter according to Figure 4 is realized as a small obscuration 38 at the focus of the ordinary light beam 26. Thereby, besides blocking of the ordinary mode, also the central part, i.e. the rays close to the optical axis 12, of the extraordinary mode is blocked. Thus, the obscuration 38 also functions as an apodizer.

Figures 3 and 4 show embodiments in which the ordinary mode is blocked by the spatial filters 32 and 38, respectively. According to a further embodiment, the roles of the ordinary mode and the extraordinary mode are changed, i.e. the extraordinary mode is blocked and the ordinary mode passes the spatial filter. This implies that the beam directly after the telescope is azimuthally polarized. It is possible to transform this azimuthally polarized beam into a radially polarized beam by a uniform  $\pi/2$  polarization rotator that is placed in the parallel beam directly after the telescope. Such a polarization rotator plate is for example a uniform slab of optically active material such as quartz of the thickness needed to produce the  $\pi/2$  rotation.

Figure 5 shows an apparatus according to the present invention. The telescope used in the arrangement according to Figure 5 corresponds to the telescope shown in Figure 3. The parallel beam that leave the lens 34 is either radially or azimuthally polarized. In case that the beam is azimuthally polarized, a  $\pi/2$  rotator 40 is placed into the parallel beam 36, thereby



producing a radially polarized beam. This radially polarized beam is focused onto an object plane 46 by an objective lens 44. Possibly, an apodizer 48 is placed in front of the objective lens 44. The focus spot 50 thus produced results from a radially polarized beam and has a substantially linear polarization parallel to the optical axis 12.

5 According to a further embodiment that is not explicitly shown in the drawings, it is not required to use a spatial filter at all. Instead, the birefringent slab is placed into the converging beam directly after the objective lens of the high NA imaging system (optical disc read-out system, scanning microscope, etc.). Now the object is illuminated by both spots. The extraordinary spot is focused onto the data layer/relevant depth slice so that the ordinary spot is defocused. This causes a small, relatively uniform background in the resulting image, which is not so harmful for the extraction of data or the formation of a sharp image. However, if needed, the reflection due to the ordinary spot can be eliminated by means of a telescope with spatial filter placed in the detection branch of the optical disk readout system/scanning microscope, in much the same way as described according to the embodiments of the Figures 3, 4 and 5.

Figure 6 shows an optical member according to one embodiment of the present invention. Figure 7 shows an optical setup for illustrating the present invention. According to the embodiments described so far, a plan-parallel slab of birefringent material is used. In any of the described embodiments, a part of the light is lost. According to the embodiment as shown in Figure 6, a birefringent layer 52 with a symmetry axis substantially parallel to the optical axis is used that has a thickness varying with the angle of incidence  $\theta_i$ . The optical axis 12 and the converging light beam 18 are also shown in Figure 6. The thickness of the birefringent layer varies as a function of the angle of incidence according to

$$25 \quad d(\theta_i) = \frac{\lambda}{4} \cdot \frac{1}{\sqrt{n_o^2 - \sin^2 \theta_i} - \frac{n_o}{n_e} \sqrt{n_e^2 - \sin^2 \theta_i}},$$

wherein  $\lambda$  is the wavelength of the light,  $n_o$  is the refractive index for the ordinary mode, and  $n_e$  is the refractive index for the extraordinary mode.

In Figure 7 the setup with the birefringent layer 52, a lens 54, and a  $\pi/4$  rotator 56 is shown. If circularly polarized light is used for irradiating the birefringent layer 52, as shown in the top of Figure 7, a linear polarization profile is created, as shown in the middle of Figure 7. The linear polarization makes an angle of 45 degrees with the radial direction for all

rays in the con-verging (or diverging) cone of light. By guiding the beam through a rotator that rotates the polarization over 45 degrees (for example a slab of an optical active material such as quartz), a radially polarized or azimuthally polarized beam is created. In the bottom of Figure 7 a radially polarized beam is shown. The advantage of this solution is the fact that  
5 no light is lost.

It should be noted that this setup can also be realized by a solid immersion lens. Since the lens surface is perpendicular to the incoming rays, both the birefringent layer 52 and the rotator 56 can be "deposited" onto the spherical surface of the lens 54.

The birefringent material used according to the present invention can be a crystal-line  
10 medium such as quartz or  $\text{MgF}_2$  or a liquid crystalline medium. The liquid crystalline medium is preferably a liquid crystalline polymer. In case of quartz used as the birefringent material, in order to compensate for the optical activity of quartz that manifests itself most prominently for the rays near the optical axis, the spatial filtering with an obscuration is preferred rather than the spatial filtering with a pinhole.

Figure 8 shows a flow chart illustrating a method according to the present invention to  
15 be performed on the basis of e.g. the embodiment shown in Figure 5. According to the embodiment described in Figure 8, a converging light beam is generated (S01). The light beam is projected through a member comprising a uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis of the light beam (S02). According to  
20 the embodiment presently discussed, an extraordinary and an ordinary mode is generated. In step S03 the ordinary mode is blocked. According to step S04, the extraordinary mode is used for optical purposes, for example for data read-out or confocal microscopy.

It is noted that the embodiments of the present invention can be different from the examples shown in the drawings and described above. For example, the birefringent material  
25 can also be placed into a diverging beam. In this case, the rest of the optical setup has to be adapted accordingly.

Equivalents and modifications not described above may also be employed without departing from the scope of the invention, which is defined in the accompanying claims.

30 Any reference sign in the following claims should not be construed as limiting the claim. It will be obvious that the use of the verb "to comprise" and its conjugations does not exclude the presence of any other elements besides those defined in any claim. The word "a" or "an" preceding an element does not exclude the presence of a plurality of such elements.

**CLAIMS**

1 A method of generating a polarized light beam to be projected onto an object plane (46) comprising the steps of:

5 generating a converging or diverging light beam (18); and  
projecting the converging or diverging light beam through a member (22, 52) comprising a uniaxial birefringent material, the uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis (12) of the light beam, and the member being placed at a distance from the object plane.

10 2 The method according to claim 1, wherein light that traveled through the uniaxial birefringent material comprises an extraordinary mode (24) and an ordinary mode (26), the modes having different focal points (28, 30) and a spatial filter (32, 38) is provided for substantially blocking one of these modes.

3 The method according to claim 2, wherein the ordinary mode is blocked by the spatial  
15 filter, thereby generating a radially polarized beam that passed the filter.

4 The method according to claim 2, wherein the extraordinary mode is blocked by the spatial filter, thereby generating an azimuthally polarized beam that passed the filter, and further comprising the step of:

placing a  $\pi/2$  rotator (40) into the azimuthally polarized beam, thereby generating a radially  
20 polarized beam that passed the  $\pi/2$  rotator.

5 The method according to claim 1, wherein an apodizer (48) is placed into a radially polarized beam.

6 The method according to claim 1, comprising the steps of:  
providing a member (52) comprising a uniaxial birefringent material having a thickness  $d$   
25 that varies with the angle of incidence  $\theta_i$  according to

$$d(\theta_i) = \frac{\lambda}{4} \cdot \frac{1}{\sqrt{n_o^2 - \sin^2 \theta_i} - \frac{n_o}{n_e} \sqrt{n_e^2 - \sin^2 \theta_i}},$$

wherein  $\lambda$  is the wavelength of the light,  $n_o$  is the refractive index for the ordinary mode, and  $n_e$  is the refractive index for the extraordinary mode, and

placing a  $\pi/4$  rotator (56) into the beam that passed the member, thereby generating a radially  
30 polarized beam that passed the  $\pi/4$  rotator.

7 An apparatus for generating a polarized light beam to be projected onto an object plane (46) comprising:

means (14) for generating a converging or diverging light beam (18);

a member (22, 52) comprising a uniaxial birefringent material located in the con-verging or diverging light beam, the uniaxial birefringent material having a symmetry axis essentially parallel to the optical axis (12) of the converging or diverging light beam, the member being further located at a distance from the object plane.

8 A member (52) comprising a uniaxial birefringent material adapted to be placed into a converging or diverging light beam, the member having a thickness  $d$  that varies with the angle of incidence  $\theta_i$  according to

$$d(\theta_i) = \frac{\lambda}{4} \cdot \frac{1}{\sqrt{n_o^2 - \sin^2 \theta_i} - \frac{n_o}{n_e} \sqrt{n_e^2 - \sin^2 \theta_i}},$$

10 wherein  $\lambda$  is the wavelength of the light,  $n_o$  is the refractive index for the ordinary mode, and  $n_e$  is the refractive index for the extraordinary mode.

9 An optical device comprising an apparatus according to claim 7.

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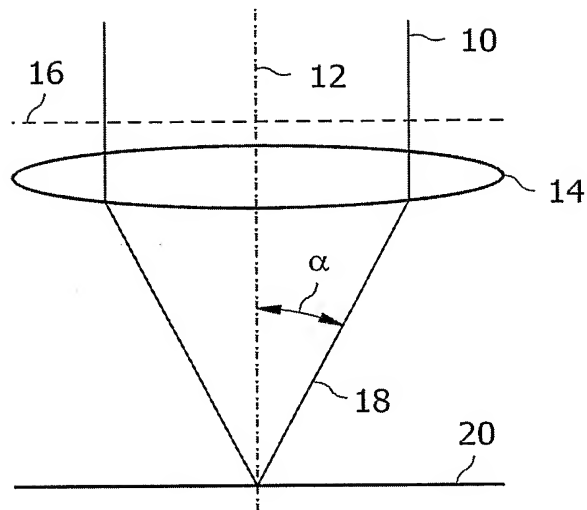


FIG. 1

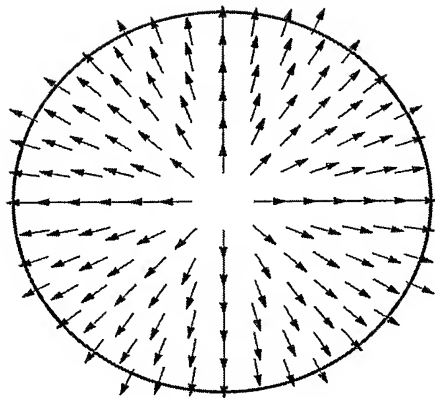


FIG. 2A

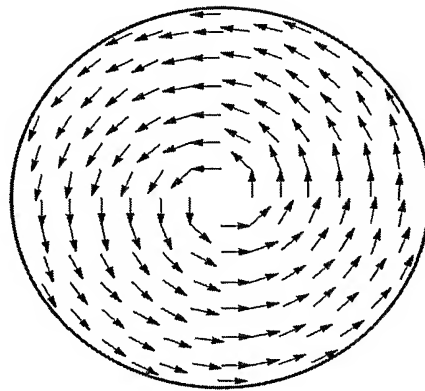


FIG. 2B

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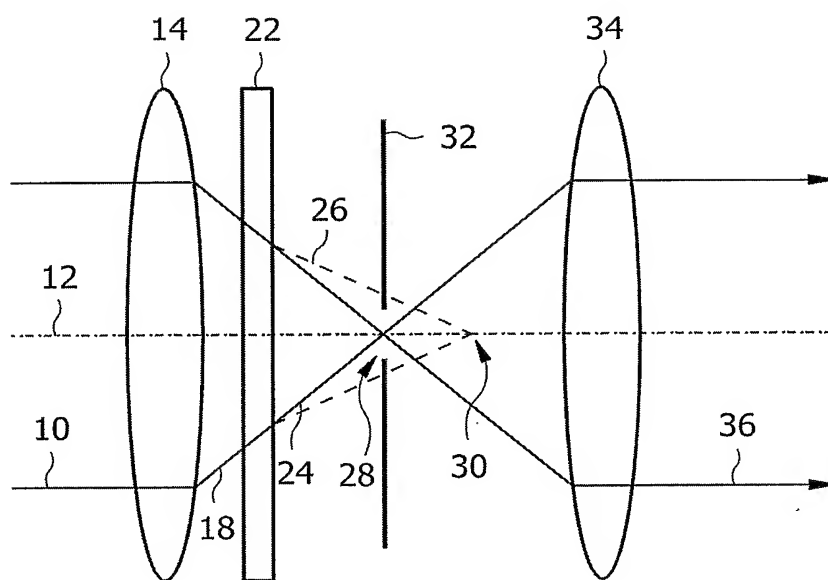


FIG.3

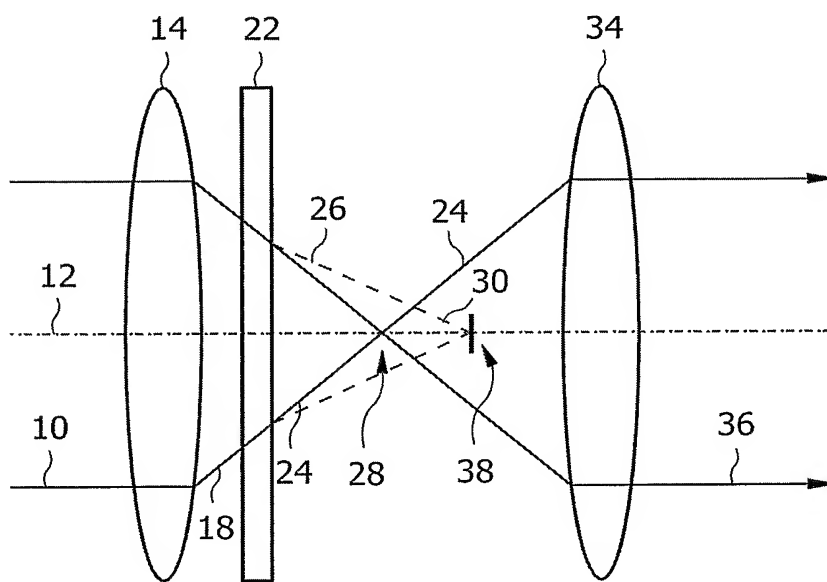


FIG.4

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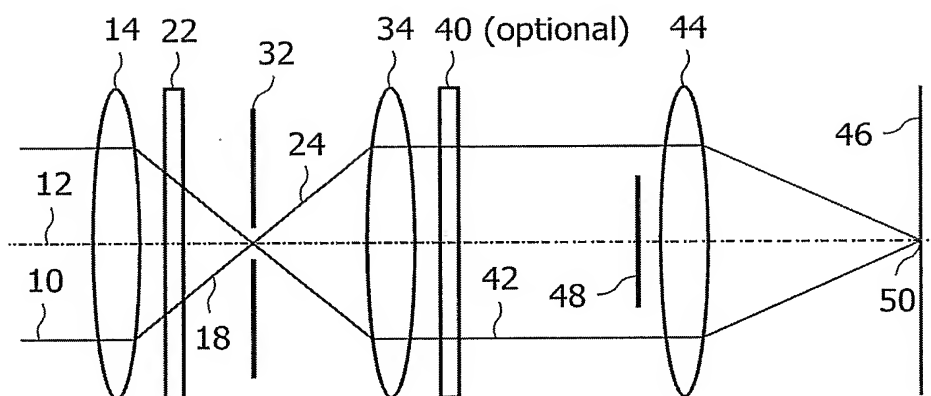


FIG. 5

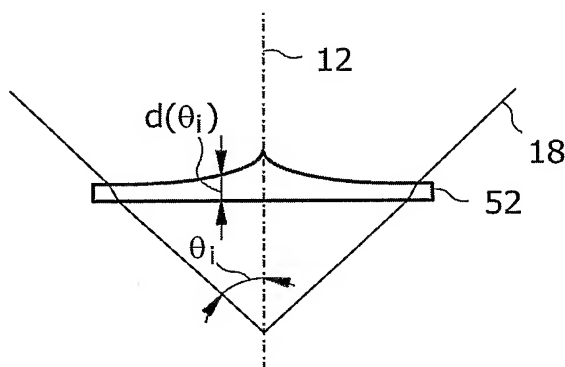


FIG. 6

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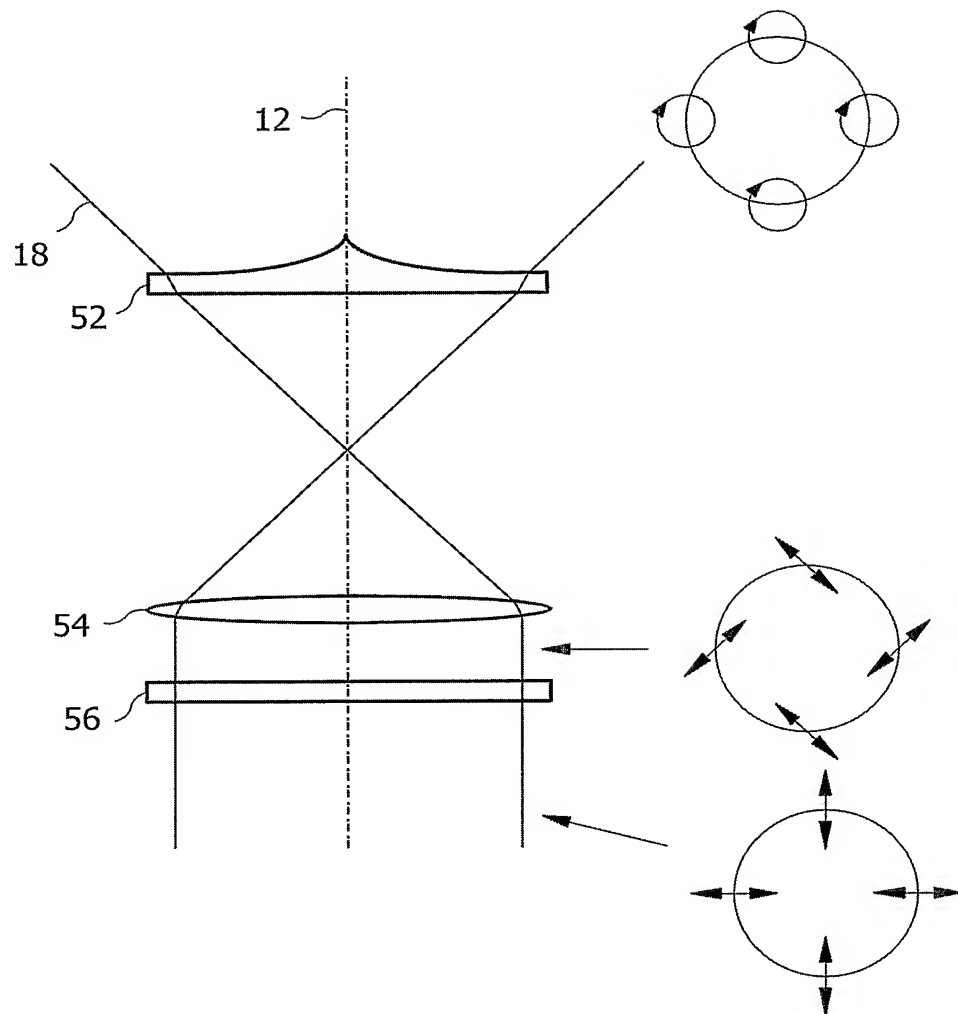


FIG. 7



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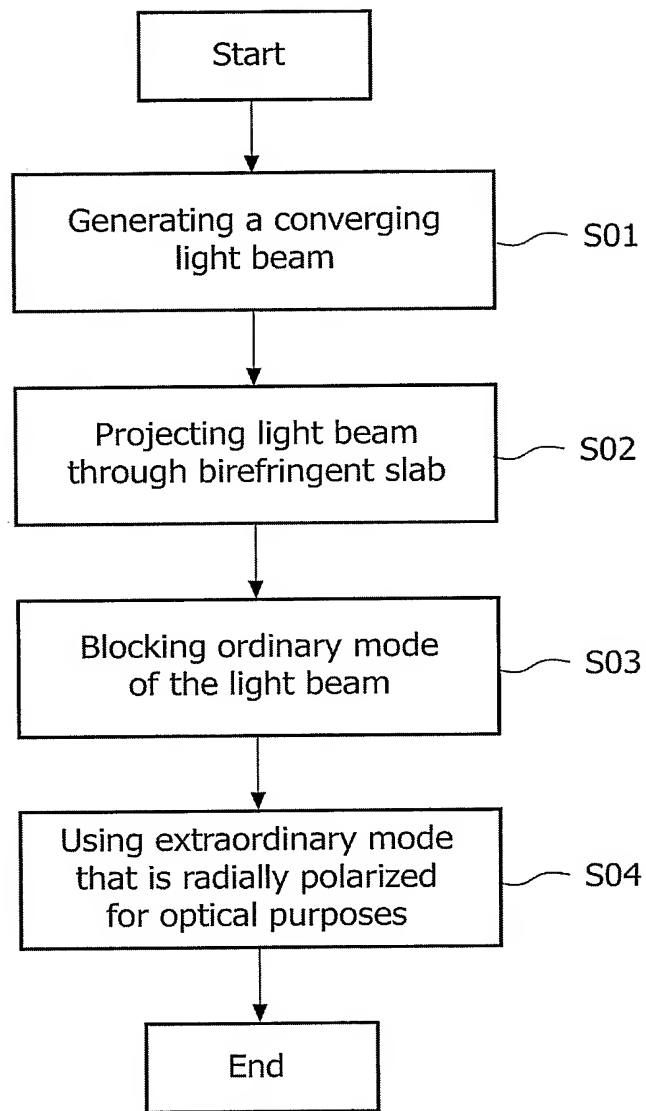


FIG.8

## INTERNATIONAL SEARCH REPORT

International Application No  
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A. CLASSIFICATION OF SUBJECT MATTER  
G02B5/30 G03F7/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
G02B G03F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/036971 A1 (MCGUIRE JAMES P) 26 February 2004 (2004-02-26) paragraph '0061! - paragraph '0065!; figure 1	1-9
X	US 2003/234981 A1 (HOFFMAN JEFFREY M ET AL) 25 December 2003 (2003-12-25) paragraph '0117! - paragraph '0139!; figure 6; example 1 abstract	1-9
X	US 2002/176166 A1 (SCHUSTER KARL-HEINZ) 28 November 2002 (2002-11-28) paragraph '0011! - paragraph '0030! abstract	1-9



Further documents are listed in the continuation of box C



Patent family members are listed in annex.

\* Special categories of cited documents .

\*A\* document defining the general state of the art which is not considered to be of particular relevance

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\* & \* document member of the same patent family

Date of the actual completion of the international search

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB2005/052284

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 2004036971	A1	26-02-2004	NONE	
US 2003234981	A1	25-12-2003	EP 1393114 A2	03-03-2004
			JP 2005521227 T	14-07-2005
			WO 02099500 A2	12-12-2002
			US 2003099047 A1	29-05-2003
			US 2004001244 A1	01-01-2004
			US 2004145806 A1	29-07-2004
US 2002176166	A1	28-11-2002	DE 10124803 A1	28-11-2002
			EP 1260849 A1	27-11-2002
			JP 2003035822 A	07-02-2003

**PUB-NO:** WO2006008691A1  
**DOCUMENT-IDENTIFIER:** WO 2006008691 A1  
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AZIMUTHALLY POLARIZED LIGHT  
BEAMS.  
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